ELECTRON TEMPERATURE ANISOTROPY IN AN EXPANDING PLASMA: PARTICLE-IN-CELL SIMULATIONS

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ABSTRACT

We perform fully kinetic particle-in-cell simulations of a hot plasma that expands radially in a cylindrical geometry. The aim of the paper is to study the consequent development of the electron temperature anisotropy in an expanding plasma flow as found in a collisionless stellar wind. Kinetic plasma theory and simulations have shown that the electron temperature anisotropy is controlled by fluctuations driven by electromagnetic kinetic instabilities. In this study, the temperature anisotropy is driven self-consistently by the expansion. While the expansion favors an increase of parallel anisotropy ($T_{\parallel} > T_{\perp}$), the onset of the fire-hose instability will tend to decrease it. We show the results for supersonic, subsonic, and static expansion flows and suggest possible applications of the results for the solar wind and other stellar winds.

Key words: instabilities - plasmas - solar wind - stars: winds, outflows - waves

Online-only material: color figures

1. INTRODUCTION

The steady-state solution of the most simple dynamical equations that describe the evolution of a stellar wind dates back to the hydrodynamic model for the solar wind proposed by Parker in 1958 (Parker 1958). Increasingly sophisticated models have been developed to take into account the different observed characteristics of the solar wind, and modeling has been based on analytic, semianalytic, and simulational methods (Hollweg 2008). The magnetohydrodynamics (MHD) approach is widely used for global modeling, but since it treats the plasma as a fluid it does not include any effects due to non-Maxwellian particle velocity distributions. More recently, kinetic simulations have tried to take into account and explain features related to the observed non-thermal distribution of particles in velocity space (see, e.g., Landi & Pantellini 2003; Zouganelis et al. 2005). The aim of such exospheric models is to provide a realistic description of the wind dynamics that includes the transition from a collision-dominated to a collisionless region. In doing so, however, these models do not include the effect of plasma instabilities and therefore cannot be regarded as completely selfconsistent.

For the solar wind, the importance of small-scale fluctuations, associated with kinetic plasma instabilities generated by non-Maxwellian particle distributions, is now widely recognized. This has come about through a convergence of observations, theory, and simulations. It is argued that many macroscopic quantities that characterize the solar wind, such as the particle temperature anisotropy or the electron heat flux, are always observed with values that are bounded by the possible onset of kinetic instabilities. The general argument is the following: the expansion of the flow leads to a distortion of the distribution function, which represents, for example, an increase in the temperature anisotropy. The distortion of the distribution function can be enough to trigger linear instability, i.e., there is some free energy available that can create plasma fluctuations. Based on simulations of the initial value problem for such instabilities, it is evident that the fluctuations act to reduce the distortion of the distribution function. In other words, the primary effect of the fluctuations produced in the instability is to restore a stable (or

marginally stable) distribution function. In the case of a temperature anisotropy driven by expansion, we might expect the onset of instabilities associated with temperature anisotropy to act as an upper limit for the anisotropy. This argument can be broadly applied to many situations, such as formation of heat flux, or indeed compression flows.

In the solar wind context, it is expected that the expansion of the wind away from the Sun produces a parallel temperature anisotropy $T_{\parallel} > T_{\perp}$ (with reference to the magnetic field direction) due to the conservation of adiabatic invariants. If one adopts a fluid viewpoint (for instance, the "double-adiabatic" theory (Chew et al. 1956, hereafter, CGL) that is often used in this context), the anisotropy is not bounded and it should increase indefinitely for increasing distance from the Sun. However, it has been observed that the temperature anisotropy is limited in value both for ions (Gary et al. 2001; Kasper et al. 2002; Hellinger et al. 2006; Matteini et al. 2007) and electrons (Gary et al. 2005; Stverak et al. 2008), and it has been suggested that this is due to the onset of kinetic instabilities, such as the fire hose for $T_{\parallel} > T_{\perp}$ and the mirror or ion–cyclotron instabilities for $T_{\parallel} < T_{\perp}$. Recently, several computer simulations have been able to show that the kinetic instabilities are effectively able to constrain the growth of temperature anisotropy. Those include hybrid simulations for protons (Hellinger et al. 2003; Matteini et al. 2006) and particle-in-cell (PIC) simulations for electrons (Gary & Wang 1996; Gary et al. 2000; Gary & Nishimura 2003; Camporeale & Burgess 2008).

Most simulations study the initial value problem for an instability, starting with some initially unstable anisotropy value and following the evolution of the system to marginal stability. These simulations are usually interpreted in the framework of the linear theory of the Vlasov–Maxwell equations. The linear theory is developed for a non-expanding plasma embedded in a uniform magnetic field, in a periodic Cartesian geometry. It is this theory that is used to determine the marginal stability boundary used to confirm the role of linear instabilities as constraining the observed particle anisotropy. In other words, the paucity of observed periods of large anisotropy is interpreted as a consequence of fluctuations driven by anisotropy instabilities which stop the plasma from reaching a high anisotropy state, or rapidly relaxing it if it does. The bounds of the anisotropy are calculated using a non-expanding Cartesian geometry.

All the simulations performed so far do not include the radial expansion of the wind in a completely consistent manner. Because of the computational difficulties of simulating an expanding plasma in a fixed frame of reference, simulations using an "expanding box model" have been developed. In this type of simulation, the idea is to use a Cartesian computational domain, but to "stretch out" the domain as the plasma expands, thus distorting the simulation box in at least one dimension. As a consequence, the equations of motion have to be modified, taking into account the inertial forces due to the expansion of the box, and the coordinates must be continuously rescaled. For plasma simulations, this method was initiated in Grappin et al. (1993) for MHD, and it has been successively applied to hybrid simulations (with fluid electrons and particle ions) in Hellinger et al. (2003). Although the simulation results have been relatively successful and shown to be consistent with satellite observations (Matteini et al. 2007), the expanding box model is still unable to treat the expansion consistently. It can be argued that the rescaling of the box effectively produces an unphysical mode coupling due to the allowed wave vectors changing continuously over time. Energy that resides in certain modes at one time is artificially channeled toward other modes, as time evolves. The counterargument is that this mode evolution is actually expected as precisely a result of the expansion.

In this paper we present the first fully kinetic PIC simulations, with realistic proton-electron mass ratio, of a plasma that expands radially in a decreasing magnetic field, in a fixed frame of reference. The expansion is radial in a cylindrical geometry. The scope of this work is to study and quantify in a more consistent way the competition between the growth of parallel anisotropy due to the expansion and the possible onset of kinetic instabilities. The simulations presented in this paper are 2D-3V, i.e., two-dimensional in space and three-dimensional in velocity space. The magnetic field is also two dimensional, with axial component zero. Clearly, this is just a first step toward more challenging and realistic three-dimensional simulations. But, we will show that even with the use of cylindrical geometry it is already possible to capture the increase of anisotropy and the subsequent development of kinetic instabilities. With the scales currently feasible, our results are relevant to the evolution of the electron particle distribution. We will show and compare three different cases: static, subsonic, and supersonic flow.

The paper is organized as follows. The feasibility of running the simulations has been greatly enhanced by using an implicit scheme, and we discuss the main features of the algorithm in Section 2. Section 3 is devoted to describe the details of the box geometry and size, and the characteristic plasma timescale and lengths. We show and discuss the results of different runs in Section 4 and draw our conclusions in Section 5.

2. THE IMPLICIT CODE

The code used in this work is a fully kinetic, implicit, parallel, electromagnetic PIC code called *PARSEK*. Its features are described in detail in Markidis et al. (2009), but for completeness we give here a brief description of the algorithm. We refer the reader to, e.g., Pritchett (2003) or Hockney & Eastwood (1981) for a more general tutorial on PIC techniques.

The algorithm is implicit in time both for the particle mover and for the field solver, and it adopts the so-called implicit moment method, introduced by Brackbill & Forslund (1982) and successively re-elaborated in Vu & Brackbill (1992) and Ricci et al. (2002). The following equations (in CGS units) for the conservation of density and momentum are used to extrapolate the values of the charge density ρ and the current density **J** at a new time step:

$$\frac{\rho^{i+1} - \rho^i}{\Delta t} + \nabla \cdot \mathbf{J}^{i+\frac{1}{2}} = 0, \qquad (1)$$

$$\frac{\mathbf{J}^{i+1} - \mathbf{J}^{i}}{\Delta t} = \frac{q}{m} \left(\rho^{i} \mathbf{E}^{i+1} + \frac{\mathbf{J}^{i+\frac{1}{2}} \times \mathbf{B}^{i}}{c} - \nabla \cdot \mathbf{P}^{i} \right), \quad (2)$$

where superscripts indicate time step, and all other symbols are standard. The closure of Equations (1) and (2) is provided by approximating the divergence of the pressure tensor at time i + 1 with the value at time *i*, that is $\nabla \cdot \mathbf{P}^{i+1} \sim \nabla \cdot \mathbf{P}^{i}$. From Equations (1) and (2) one can formulate ρ^{i+1} and \mathbf{J}^{i+1} as functions of the electric field \mathbf{E}^{i+1} . By using those relations in Maxwell equations and after some algebra, one ends up with a linear equation for \mathbf{E}^{i+1} as a function of only old quantities:

$$(c\theta\Delta t)^{2}[-\nabla^{2}\mathbf{E}^{i+1} - \nabla\nabla\cdot(\mu^{i}\mathbf{E}^{i+1})] + (\mathbf{I} + \mu^{i})\mathbf{E}^{i+1}$$

= $\mathbf{E}^{i} + (c\Delta t)\left(\nabla\times\mathbf{B}^{i} - \frac{4\pi}{c}\mathbf{\hat{J}}^{i}\right) - (c\Delta t)^{2}\nabla4\pi\,\hat{\rho}^{i},$ (3)

where the following terms are defined:

$$\hat{\mathbf{J}}^{i} = \sum_{s} \Pi^{i} \cdot \left[\mathbf{J}^{i} - \frac{q\Delta t}{2m} \nabla \cdot \mathbf{P}^{i} \right], \tag{4}$$

$$\Pi^{i} = \left[\mathbf{I} + \frac{q\Delta t}{2mc} \mathbf{I} \times \mathbf{B}^{i} + \frac{q^{2}\Delta t^{2}}{4m^{2}c^{2}} (\mathbf{I} \cdot \mathbf{B}^{i}) \mathbf{B}^{i} \right] / \left[1 + \frac{q^{2}\Delta t^{2} B^{i^{2}}}{4m^{2}c^{2}} \right],$$
(5)

$$\mu^{i} = \frac{\Delta t^{2}}{2} \omega_{ps} \Pi^{i}, \quad \omega_{ps} = \frac{4\pi q \rho^{i}}{m}, \tag{6}$$

$$\hat{\rho} = \rho - (\Delta t) \nabla \cdot \hat{\mathbf{J}},\tag{7}$$

and the subscript s indicates the species.

Equation (3) is solved by a matrix-free generalized minimal residual (GMRes) iterative linear solver (Saad & Schultz 1986). Once the electric field is known at time i + 1, the magnetic field **B** is advanced using Faraday's law:

$$\mathbf{B}^{i+1} = \mathbf{B}^i - c\Delta t \nabla \times \mathbf{E}^{i+i}.$$
 (8)

The particle mover pushes the particles to a new position and velocity according to the equations

$$\mathbf{x}^{i+1} = \mathbf{x}^i + \mathbf{v}^{i+\frac{1}{2}} \Delta t, \tag{9}$$

$$\mathbf{v}^{i+1} = \mathbf{v}^i + \frac{q\Delta t}{m} \left(\mathbf{E}^{i+1} + \frac{\mathbf{v}^{i+\frac{1}{2}} \times \mathbf{B}^{i+1}}{c} \right).$$
(10)

Equations (9) and (10) are also solved iteratively with a predictor–corrector technique. Finally, to ensure that the continuity equation is satisfied, the electric field must be corrected with

$$\mathbf{E}_{\text{new}} = \mathbf{E}_{\text{old}} - \nabla\phi, \quad \nabla^2\phi = \nabla\cdot\mathbf{E}_{\text{old}} - 4\pi\rho.$$
(11)



Figure 1. Geometry of the computational box. Periodic boundary conditions are applied on sides AB and CD. AEGD is the inner boundary region, EFGH is the active region, and FBCH is the outer boundary region. Particles are re-injected, with Maxwellian distribution, from sides AD and BC.

The main advantage of the implicit method over an explicit one is that it enables a choice of time step Δt and a grid size Δx that do not satisfy the Courant stability condition $c\Delta t/\Delta x < 1$. This is instead replaced by the less stringent accuracy condition $v_e\Delta t/\Delta x < 1$, where v_e is the electron thermal velocity. The time step is still small enough to resolve the electron gyromotion. Of course, this benefit is paid for in terms of the computational complexity of the algorithm; however, for many situations the possibility of choosing a fairly large time step results in a positive payoff for the total simulation runtime.

3. SIMULATION SETUP

We simulate an ion–electron plasma, with physical mass ratio, i.e., $m_i/m_e = 1836$. The plasma expands radially on a twodimensional disk, and the magnetic field is forced to have zero axial component so it is a two-dimensional vector field. The geometry of the box is shown in Figure 1. The grid is Cartesian (x, y) and covers the trapezoid *ABCD*. The oblique sides *AB* and *DC* form a 90° angle, and we apply periodic boundary conditions on these sides. Therefore, a complete plane geometry is recovered by applying three successive 90° rotations of the box. This fourfold symmetry is used to reduce the computational effort and does not affect the short wavelength fluctuations that develop.

To ensure a correct periodicity along the azimuthal direction, the particles that escape the boundary *DC* are re-injected from the boundary *AB* and vice versa. In doing so, their trajectory and velocities must be appropriately rotated by 90°. We show an example, in Figure 1, where a particle moving from point 1 to point 2 is re-injected at point 4, as if it was coming from point 3. The vector velocity (v_x, v_y) on the plane must also be rotated. The same argument applies to the electric and magnetic fields on the boundary, where the *x*-component on the *AB* side is imposed to match the *y*-component on the *DC* side, while the *y*-component on *AB* must be equal to the *x*-component on *AB*, with a change of sign (i.e., E_y on *AB* is equal to $-E_x$ on *DC*). The out-of-plane *z*-component is treated as periodic in the standard way.

We define three different regions in the box: an inner boundary region AEGD, an active region EFHG, and an outer boundary region FBCH. Particles are initially loaded in all the three

regions, with a density that varies as 1/r, with *r* being the distance from the origin (0, 0) (which is out of the box). Except for the static case, they are initialized with an isotropic Maxwellian distribution and with a radial mean velocity V_m . The initial magnetic field on the plane is also radial, pointing outward, and decreases in magnitude as 1/r. The initial electric field is null. While particles are allowed to move anywhere in the box *ABCD*, the field solver advances the fields only in the active region. This effectively produces boundary conditions on the arcs *EG* and *FH*, where any perturbation of the initial fields is forced to smooth out.

The fact that we have a reservoir of particles in the inner and outer boundary regions that move consistently with a static electromagnetic field avoids spurious boundary effects on the sides EG and FH. We are therefore mainly interested in what happens inside the active region, which has consistent boundary conditions for both particles and fields on all its sides. The true boundaries of the computational box are of course the sides AD and BC, which are sufficiently far from the active region. Here, as we said, the electromagnetic field is static; particles are allowed to escape, and they are re-injected at every time step on both sides. The re-injection routine is computationally expensive, but it mimics the existence of a population of Maxwellian particles outside the box, with drift velocity equal to V_m parallel to the magnetic field and with density that again goes as 1/r.

The plasma beta for the species *s* is defined as usual as $\beta_s = \frac{8\pi nT_s}{\mathbf{B}^2}$, where *n* is the density, *T* is the temperature, and **B** is the magnetic field. It increases linearly with the distance from the origin, and the initial values of *n*, *T*, **B** are chosen so that $\beta = 7.7$ on the arc *EG*, and $\beta = 15.4$ on *FH*, for both electrons and ions (that is $T_e = T_i$). These relatively high values of beta allow the expanding plasma to reach quickly a parallel anisotropy large enough to trigger the electron firehose instability. According to the linear kinetic theory, the only two parameters that control the growth rate of an anisotropy instability are the parallel beta β_{\parallel} and the temperature ratio T_{\perp}/T_{\parallel} . For the electrons, Camporeale & Burgess (2008) have found that the relationship

$$\frac{T_{\perp}}{T_{\parallel}} = 1 - \frac{1.29}{\beta_{\parallel e}^{0.98}}$$
(12)

is valid at the threshold of the instability.

Velocities are normalized to the light speed c, and the initial thermal velocities for electrons and ions are respectively $v_e = 8 \times 10^{-3}$, and $v_i = 1.9 \times 10^{-4}$. The subsonic and supersonic cases that we discuss in the next section are respectively for $V_m/v_e = 0.625$, and $V_m/v_e = 2.5$, while for the static case $V_m = 0$, but the initial electron anisotropy is $T_{\perp}/T_{\parallel} = 0.7$. The box has a maximum of 540 cells in the *x*-direction and 1170 in the *y*-direction. The active region has a radial extension of $L\omega_i/c = 1.25$, where ω_i is the ion plasma frequency. The total box has a maximum radial extension of $4.22c/\omega_i$. The box is therefore sufficiently large to capture waves with wavenumber kc/ω_i greater than about 5. The time step is $\Delta t = 0.05\omega_i^{-1}$, and the cell size is $\Delta x = \Delta y = 0.0055c\omega_i^{-1}$, making the Courant parameter $c\Delta t/\Delta x$ equal to 9; the advantage of the implicit scheme is evident.

4. RESULTS

In the CGL "double adiabatic" description of a plasma, the quantities $\frac{T_{\perp}}{\mathbf{B}}$ and $\frac{T_{\parallel}\mathbf{B}^2}{n^2}$ are constants. It follows that the

temperature anisotropy varies as $T_{\parallel}/T_{\perp} \sim n^2/\mathbf{B}^3$. In our configuration, where density and magnetic field decrease as 1/r, T_{\parallel}/T_{\perp} will grow linearly with the distance. Since we start with an isotropic particle distribution, the anisotropy is expected to increase until the local plasma parameters are such that Equation (12) holds. At this point, the electron fire-hose instability is triggered.

The linear dispersion relation of the electron fire-hose instability yields two solutions (Li & Habbal 2000). One branch is a propagating slowly growing mode, with angle of propagation ranging from 0° to about 70° , and the other is a non-propagating fast growing mode, with wavevector forming an angle between about 30° and 90° with the magnetic field. The latter is generally dominant, but it has been shown that depending on the angle of propagation and the level of anisotropy, there are wavevectors for which the growth rate of the two modes is comparable (Camporeale & Burgess 2008).

4.1. Supersonic Case: $V_m/v_e = 2.5$

In order to evaluate the role of instabilities in reducing the electron temperature anisotropy in the expanding plasma, we compare in this section two simulations. One has the setup described in the previous section, i.e., the box is divided in three regions, and the electromagnetic (EM) field is solved only in the active region. The second simulation is identical in all the parameters, except for the EM field that is kept static through all the box. In this way, the differences between the two runs are clearly due to feedback effects caused by selfconsistently generated electromagnetic fluctuations. We will call the two simulations "self-consistent" and "test-particle" runs. The focus of our interest will be the development of electron parallel anisotropy. First, however, we show in Figure 2 the development at three successive times of the amplitude of the magnetic field fluctuations $\delta \mathbf{B}/\mathbf{B}_0$ (where $\delta \mathbf{B} = |\mathbf{B} - \mathbf{B}_0|$, and \mathbf{B}_0 is the initial magnetic field) for the self-consistent run. These images have been obtained by successive rotations of the box. At time $T\omega_i = 18$ (top panel), there is not yet any large-scale structure evident, and at that time there are many modes at different orientation but comparable amplitude present, creating an almost random patchwork of magnetic field fluctuations. As time evolves (middle and bottom panels), a structure of fluctuations aligned with the background magnetic field emerges. This is consistent with the development of quasiperpendicular waves that at those times have superseded the more parallel modes. By performing a Fourier transform in time, we have indeed confirmed that the waves observed in Figure 2 are non-propagating (or alternatively, moving very slowly, compared to the total time of the simulation) in the azimuthal direction. Movies of the evolution show that the structures do not propagate azimuthally, but do have features indicating that there is underlying convection outward in the radial direction.

This is the first evidence that a plasma that increases its electron temperature anisotropy in a self-consistent expansion actually triggers a non-propagating fire-hose instability. This is not a trivial result, because although predicted by the linear kinetic theory in Cartesian geometry, this has never been confirmed before by computer simulations for an expanding plasma. As we discussed in the Introduction, what was already known is that an instability would develop if the plasma was starting with a sufficiently anisotropic temperature (Gary & Nishimura 2003; Camporeale & Burgess 2008), while here we started with an isotropic plasma and let the anisotropy grow



Figure 2. Supersonic case: $\delta \mathbf{B}/\mathbf{B}_0$ at times $T\omega_i = 18$ (top), $T\omega_i = 60$ (middle), and $T\omega_i = 100$ (bottom). The images are obtained by successive rotations of the box.

(A color version of this figure is available in the online journal.)

self-consistently, due to the expansion. The physical behavior here is much more complex than that for a non-flowing plasma in a constant magnetic field. The magnetic fluctuations created by the instability are now convected outward with the flow. This effect helps to lower further the temperature anisotropy at larger distances by increasing the particle scattering.

The top panel of Figure 3 shows the development of the anisotropy at three different radial distances, where the temperatures are averaged over the azimuthal direction. The three solid



Figure 3. Supersonic case. Top panel: anisotropy T_{\parallel}/T_{\perp} at radial distances: $r\omega_i/c = 1.50$ (red), $r\omega_i/c = 1.86$ (blue), and $r\omega_i/c = 2.22$ (black). Solid lines are for the self-consistent run, and dashed lines are for the test-particle run. The dot-dashed line is the CGL prediction for $r\omega_i/c = 1.50$. Bottom panel: ratio of anisotropy for self-consistent over test-particle runs.

(A color version of this figure is available in the online journal.)

lines are for r = 1.50 (red), r = 1.86 (blue), and r = 2.22(black), for the self-consistent run (r is normalized to the ion inertial length $c\omega_i^{-1}$). The corresponding dashed lines show the development of the anisotropy at the same radial distances, for the test-particle simulation. We have also included, for r = 1.50only, the value of anisotropy predicted by the CGL theory (dotdashed line). The CGL prediction is in good agreement for values of T_{\parallel}/T_{\perp} less than about 2, but then it greatly overestimates the anisotropy (also for the test-particle run). The effect of the fire-hose instability in reducing the anisotropy at different distances is clear, and it is not surprising that the anisotropy is higher when the particles are not scattered by electromagnetic fluctuations. We show in the bottom panel of Figure 3 the ratio between the values of solid lines and dashed lines. This represents the reduction of anisotropy due to the presence of fluctuations. One can see that this reduction stands between 20% and 40% depending on location and time.

We now want to quantify the effect of the instability by looking separately at the parallel and perpendicular temperatures. These are shown respectively in the top and bottom panels of Figure 4, where the temperatures have been normalized to their value at time T = 0. The colors are the same as for Figure 3, and solid and dashed lines are again for self-consistent and test-particle runs, respectively. Here we have also pointed, with dotted vertical lines, the approximate time at which, for different locations, the local anisotropy and plasma beta are such that Equation (12) predicts a marginal stability state. In other words, after the time denoted for each curve by the dotted line, the plasma is unstable at that location. It has to be reminded, however, that Equation (12) has been derived from the linear theory of plasmas in a homogeneous field and a periodic Cartesian geometry. It appears indeed that the curves are not sensitive to the particular times denoted by the dotted lines. We will give two possible explanations later. First, we comment on the general features that emerge from Figure 4. Recall that the expansion (without the effect of instabilities) should result in a monotonic



Figure 4. Supersonic case: T_{\parallel} (top panel) and T_{\perp} (bottom panel). Solid lines are for the self-consistent run, and dashed lines are for the test-particle run, at radial distances: $r\omega_i/c = 1.50$ (red), $r\omega_i/c = 1.86$ (blue), and $r\omega_i/c = 2.22$ (black). The vertical dotted lines indicate the approximate time at which the condition of Equation (12) holds, that is, the plasma is marginally stable.

(A color version of this figure is available in the online journal.)

decrease of T_{\perp} and a constant T_{\parallel} . This is indeed what happens for the dashed lines. At a certain time, the value of T_{\perp} approaches an asymptote, and this happens for successive times at larger distances. This is because the perpendicular temperature is inversely proportional to the distance traveled by the particles. This distance will increase at the beginning of the simulation, until it will reach the maximum possible value at each location, equal to the distance from the inner boundary, and then will stay constant. On the other hand, the parallel temperature stays roughly constant, in the test-particle run, while the effect of the instability is supposed to decrease it. Two comments are in order. First, the stationary value reached by T_{\perp} is higher in the self-consistent run, meaning that electromagnetic fluctuations act to reduce the decrease of perpendicular temperature. However, no particular change is apparent in either T_{\parallel} or T_{\perp} when the plasma becomes unstable. Second, the parallel temperature suffers a mild decrease in the initial stage and then increases at successive times, at larger distances. This is probably due to the concurrent saturation of fire-hose modes that once damping tend to enhance the parallel temperature and the creation of non-thermal features in the particle distribution function, as we will show later. Since those modes are convected outward, the increase in parallel fluctuation temperature moves outward too.

What emerges therefore is that the electromagnetic fluctuations are responsible for slowing down the rate at which the parallel anisotropy grows. However, we have been unable to unequivocally identify the fire-hose instability as entirely responsible for this behavior. As we anticipated, there are, in our view, two possible explanations. One is that the linear theory result summarized in Equation (12) is not completely applicable when the geometry is radial, and the magnetic field and density are not uniform. This is a point that deserves a deeper investigation, but it could be that the results developed over the years for homogeneous plasma are not straightforwardly applicable to more realistic situations (i.e., for non-constant magnetic field and density, and not periodic structures in the radial direction).





Figure 5. Supersonic case. Electron distribution function at times $T\omega_i = 18$ (top), $T\omega_i = 60$ (middle), and $T\omega_i = 100$ (bottom), in $(v_{\parallel}, v_{\perp})$ space. The distributions are averaged over the whole active box.

(A color version of this figure is available in the online journal.)

A second possibility is that small noisy fluctuations, unrelated to the fire-hose instability, could scatter the particles and decrease the parallel anisotropy even before reaching a linearly unstable condition. Moreover, the increase in parallel temperature at later times seems to be an artificial effect due to the development of non-Maxwellian features in the particle distribution function, such as high energy tails. We show in Figure 5 the contour plot of the electron distribution function, averaged in the whole active region, at times $T\omega_i = 18$ (top panel), $T\omega_i = 60$ (middle), and $T\omega_i = 100$ (bottom). One can note that as the perpendicular temperature is reduced, the distribution becomes asymmetric in the parallel direction. This is reflected in the increased parallel temperature. The distribution function for states close to the equilibrium is therefore non-bi-Maxwellian, and this is consistent with many satellite observations.

4.2. Static Case: $V_m = 0$

In this section, we show the results of one simulation, where the particles have no initial mean velocity ($V_m = 0$), but the initial anisotropy $T_{\perp}/T_{\parallel} = 0.7$. In this way, the firehose instability is triggered in the whole active region from the beginning of the simulation. Similar simulations, but for a homogeneous plasma in a double periodic box, have been performed in Camporeale & Burgess (2008). The purpose of this run is to check that although the geometry is two dimensional in space, and therefore some simplifying assumptions have been made on the initial profile of magnetic field and density, and



Figure 6. Static case: $\delta \mathbf{B}/\mathbf{B}_0$ at times $T\omega_i = 18$ (top), $T\omega_i = 60$ (middle), and $T\omega_i = 100$ (bottom). The images are obtained by successive rotations of the box.

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on somehow artificial boundary conditions, the simulations are still able to capture the fire-hose instability and the consequent decrease of anisotropy. Moreover, with this run we will be able once again to clearly identify the decisive role of the expansion.

We show in Figure 6 the development of $\delta B/B_0$. Here again the dominance of quasi-perpendicular modes at later times is evident. The growth rate of the instability is now higher at larger distances, where the plasma beta is higher. Since we start from an unstable plasma, and the countereffect of the



Figure 7. Static case. Top panel: anisotropy T_{\parallel}/T_{\perp} . Bottom panel: T_{\parallel} (solid line) and T_{\perp} (dashed line).

expansion is now absent, the fluctuations reach amplitudes slightly higher than those for the supersonic case (Figure 2). If we look at the temperature anisotropy (Figure 7, top panel), and at the parallel and perpendicular temperatures (Figure 7, bottom panel, respectively in solid and dashed lines), we see that the anisotropy decreases straight away from the beginning, but not dramatically in value. The temperatures in Figure 7 are averaged over the whole active box. The decrease of the anisotropy is caused by the fact that the parallel temperature decreases faster than the perpendicular one. At time $T\omega_i \sim 40$, however, the parallel temperature reaches a plateau, causing an increase of the anisotropy, because the perpendicular temperature keeps decreasing.

It interesting that the decrease of perpendicular temperature seems to be related to the geometry and the initial profile of magnetic field and density. Even if the initial mean velocity is zero, the magnetic field profile causes a narrowing of the pitch angle distribution for particle moving outward. Thus, the initial conditions favor a distribution of particles that tend to align their velocity with the magnetic field, at the expense of the perpendicular velocity. From Figure 7 the parallel temperature will decrease if the fire-hose instability is triggered, but it might be that a more rapid decrease of the perpendicular temperature, when the linear stage of the instability has saturated, will result in a growth of anisotropy. Another interesting point is that the fire-hose instability, in this configuration, is not as effective in isotropizing the particles as is it would be for a non-cylindrical geometry, with constant magnetic field. Indeed, it has been shown in Camporeale & Burgess (2008) that in a Cartesian geometry, an anisotropic plasma is forced by the fire-hose instability to reduce its anisotropy to a state where the plasma remains close to marginal stability.

4.3. Subsonic Case: $V_m = 0.625$

In the subsonic case, the interpretation of the results becomes less straightforward because a vast proportion of electrons are now counterpropagating (i.e., moving toward the origin). This results in a non-locality of processes, where particles scattered at one location can rapidly influence the development of instabilities at other locations. Also, the convection of electromagnetic



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Figure 8. Subsonic case: $\delta \mathbf{B}/\mathbf{B}_0$ at times $T\omega_i = 18$ (top), $T\omega_i = 60$ (middle), and $T\omega_i = 100$ (bottom). The images are obtained by successive rotations of the box.

(A color version of this figure is available in the online journal.)

fluctuations toward outer regions is not as efficient as for the supersonic case. Figure 8 shows the development of $\delta B/B_0$, as for the previous cases. The whole dynamics is clearly slower, but the results are consistent with Figures 2 and 6, with again the formation of structures aligned with the background magnetic field.

The top panel of Figure 9 shows the development in time of the temperature anisotropy (the format and legend are the



Figure 9. Subsonic case. Top panel: anisotropy T_{\parallel}/T_{\perp} at radial distances: $r\omega_i/c = 1.50$ (red), $r\omega_i/c = 1.86$ (blue), $r\omega_i/c = 2.22$ (black). Solid lines are for the self-consistent run, and dashed lines are for the test-particle run. Bottom panel: ratio of anisotropy for self-consistent over test-particle runs. Solid lines are for the subsonic case, and dot-dashed lines are for the supersonic case, with time rescaled by a factor of 4.

(A color version of this figure is available in the online journal.)

same as in Figure 3). These simulations are run again until $T\omega_i = 100$, but the results should be compared with the results for the supersonic case, obtained only until $T\omega_i \sim 25$, since the flow speed is 4 times slower. In the lower panel of Figure 9, we show with solid line the ratio of anisotropy for self-consistent run over the test-particle run. The dashed lines show the same quantity for the supersonic case (i.e., bottom panel of Figure 3), where now the time has been rescaled by a factor of 4. The two runs, for subsonic and supersonic flows, are qualitatively very similar, with the anisotropy reduced about 5%–15%. This is a sign that the growth rate of the fire-hose instability for an expanding plasma must also be dependent on the flow speed of the plasma. Indeed, if this was not the case, the competition of the expansion and the instability would have led to qualitatively different results for the supersonic and subsonic cases.

5. CONCLUSIONS AND DISCUSSION

We have presented the results of PIC simulations of a plasma expanding radially on a disk, where the magnetic field and density profile decrease linearly with the inverse of the distance. Although those simulations bear some simplification and assumptions with respect to a realistic stellar wind, they have the unique feature of treating self-consistently the effects due to the expansion and the electromagnetic fluctuations. In fact, we have used a fully kinetic PIC code, with physical ion-to-electron mass ratio, and a computational box in a fixed frame of reference. Hence, we think that the results of this paper, summarized in this section, might be relevant for the understanding of a more realistic scenario.

We have confirmed that the effect of electromagnetic fluctuations is to decrease the temperature anisotropy: while the expansion makes the parallel anisotropy grow, this increase is slowed down by the presence of EM fluctuations. It is interesting that the presence of fluctuations acts not only in decreasing the parallel temperature, as it is expected, but it also reduces the rate at which the perpendicular temperature decreases during the expansion. The length and time scale constraints of our simulations limit our results to the evolution of the electron temperature anisotropy and its associated instabilities.

The simulations have confirmed the presence of quasiperpendicular waves, consistent with the development of fire-hose instability. However, the feedback effect played by fluctuations that counteract the expansion does not become more evident, when the plasma becomes linearly unstable, but is rather a continuous effect active since the beginning of the expansion. On the other hand, we have verified that if the expansion would not be present and the plasma would be injected starting with a parallel anisotropy, this anisotropy would be reduced straightaway. The fact that the results are not consistent with linear theory predictions should be thought of as a consequence of both the geometry and the fact that the plasma is drifting. Both these effects are neglected in standard linear theory, and although the approximation of a curved geometry with a planar one might be justified at large distances from the Sun, the drift should probably be taken into account. A rough estimate of the importance of the drift can be made by using the characteristic solar wind parameters listed in Bruno & Carbone (2005). The leading modes of the electron fire-hose instability have a wavevector that, depending on the anisotropy, ranges between $kc/\omega_i \sim 20$ and $kc/\omega_i \sim 60$. This corresponds, at 1 AU, to wavelengths of the order of about 10 km. The growth rate is a function of the parallel beta and the anisotropy, but if we take as a representative value of a fast growing mode a rate of 0.1 Ω_e $(\Omega_{e}$ is the electron cyclotron frequency), then it would take approximately 5×10^{-2} s for the wave to grow by a factor of e. The bulk velocity of the solar wind varies between 350 km s⁻¹ (slow wind) and 600 km s^{-1} or more (fast wind), and the electron thermal velocity is between 2000 and 3000 km s⁻¹. This means that the electrons can travel a distance comparable, if not greater, than the wavelength of the modes of interest, in a fraction of the growth time.

Moreover, we have shown that the results for subsonic and supersonic flows are qualitatively similar, and the dynamics of the subsonic flow is just slower. This suggests that the growth rate of the electron fire-hose instability must be a function of the drift speed of the plasma. This is because the expansion and the electromagnetic fluctuations play opposite role for the development of the temperature anisotropy. If the instability growth rate would not be a function of the drift speed, the temperature anisotropy would have been reduced more rapidly in the subsonic case, since here the increase of the anisotropy due to the expansion is slower.

It has to be mentioned that another possible mechanism that is thought to isotropize the distribution function is played by collisions. It has been reported that there exists an observational correlation between collisional age and electron temperature anisotropy in the solar wind (Salem et al. 2003). Clearly, the role of collisions is not included in our simulations.

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